



**Call for proposals for projects of common interest  
in the field of the trans-European transport network  
under the multi-annual programme 2007-2013  
for the year 2010**

**Field n° 12: Projects in the field of Air traffic management systems –  
Functional airspace blocks (ATM/FABs)**

**1. INTRODUCTION**

**1.1. Subject:**

This call for proposals for Actions in the field of Air traffic management systems – Functional airspace blocks (ATM/FABs) (Article 16 as well as section 9, Annex II of the TEN Guidelines<sup>1</sup>) is established under the multi-annual work programme 2010<sup>2</sup>. The work programme defines the general and specific objectives, and the results expected in relation to this call.

**1.2. Date of publication:**

The date of publication of this call is 16 February 2010.

**1.3. Indicative budget:**

The indicative budget available to support ATM/FABs projects selected under the 2010 call is €20 million.

**2. OBJECTIVES**

**2.1. General objectives:**

The general objectives of field n°12 of this call are defined in Section 3.1.2 of the multi-annual work programme 2010.

---

<sup>1</sup> Decision n° 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of trans-European Transport network, as last amended by Council Regulation N°1791/2006/EC

<sup>2</sup> Commission Decision C(2010)607 of 5 February 2010

## 2.2. **Specific objectives:**

The specific objectives of field n°12 of this call are defined in Section 3.2.2 of the multi-annual work programme 2010.

## 2.3. **Results expected:**

The results expected from field n°12 of this call are defined in Section 4 of the multi-annual work programme 2010.

## 3. **ELIGIBILITY, SELECTION AND AWARD CRITERIA**

### 3.1. **Eligibility criteria:**

The eligibility criteria for applicants and for projects, as well as the grounds for exclusion, are defined in Sections 6.1 to 6.3 of the multi-annual work programme 2010.

### 3.2. **Selection criteria:**

The selection criteria (i.e. technical and financial capacity) applicable to applicants which are not public sector bodies<sup>3</sup> or bodies governed by public law<sup>4</sup> are defined in Section 7 of the multi-annual work programme 2010.

### 3.3. **Award criteria:**

The general award criteria against which each proposal will be evaluated are specified in Section 8 of the multi-annual work programme 2010.

For the purpose of the evaluation, these criteria will be grouped in the following four blocks of criteria:

- relevance
- maturity
- impact
- quality

During the external evaluation, each block of criteria will be given a score between 0 and 5 points (with 5 being the maximum). The minimum threshold for an individual block of criteria is 3 points. In other words, external experts will not recommend for funding any proposal which does not obtain at least 3 points for each block. However, the Commission is not bound by the opinion of the external experts.

---

<sup>3</sup> For the purpose of the TEN-T Programme, a 'public sector body' means the State, regional or local authorities, bodies governed by public law and associations formed by one or several such authorities or one or several such bodies governed by public law

<sup>4</sup> For the purpose of the TEN-T Programme, a 'body governed by public law' means any body:  
(a) established for the specific purpose of meeting needs in the general interest, not having an industrial or commercial character; and  
(b) having legal personality; and  
(c) financed, for the most part by the State, or regional or local authorities or having an administrative, managerial or supervisory board, more than half of whose members are appointed by the State, regional or local authorities.

Studies and/or works will be clearly identified, even if combined in a single proposal. The activities proposed to be carried out by each applicant should be specified and consistent with their role in the proposal. Priority will be given to implementation projects.

In relation to Functional Airspace Blocks (FAB) proposals, the following specific elements shall also be taken into consideration during the evaluation and should therefore be clearly described in the Application Form B.2.:

➤ **Relevance:**

- Compliance with the requirements of Regulations (EC) No 549/2004, (EC) No 550/2004, (EC) No 551/2004 and (EC) No 552/2004 as amended by Regulation (EC) No 1070/2009 of the European Parliament and of the Council of 21 October 2009<sup>5</sup> (Single European Sky II Package) (Application Form Part B2 Section 3.3)
- Evidence that the project can reach the implementation date of FABs by 4 December 2012, as stipulated in the Regulation (EC) No 1070/2009 (Application Form Part B2 Section 3.3)
- Account taken of the Operational Improvements of the refined Implementation Package I of the SESAR Programme, as presented to the Single Sky Committee at its 33<sup>rd</sup> meeting (3-4 December 2009), for which the Committee gave its positive opinion to commence drafting the first set of the Implementing Rules. Please see the paper annexed to this document (SSC 32-item 3.2-Implementation Package I). It is also recommended that you consult the general presentation on the Implementation Package I (Annex 2) (Application Form Part B2 Section 3.3)

➤ **Maturity:**

- Presentation of the roadmaps and of binding instruments for the establishment of the FABs at three levels (Application Form Part B2 Section 4.2):
  - State level, including the civil- military aspects of cooperation
  - National Supervisory Authorities (NSAs) level
  - Air Navigation Service Providers (ANSPs) level
- The degree of cooperation, both on regulatory and operational activities, at Network level with neighbouring FABs, in order to ensure compliance and harmonisation across the EU (Application Form Part B2 Section 4.3)
- Evidence of the appropriate consultations of the stakeholders, in particular the social dialogue including the military representatives (Application Form Part B2 Section 4.3)
- The organisation of the civil-military cooperation inside the FAB and the achievement of FAB-level from this cooperation (Application Form Part B2 Section 4.4)

---

<sup>5</sup> Regulation (EC) 1070/2009 published in OJ L300/34 of 14.11.2009

➤ **Impact:**

- Percentage improvement in flight efficiency (Application Form Part B2 Section 6.5)
- Percentage improvement in cost efficiency (Application Form Part B2 Section 6.5)
- The degree of contribution to the interoperability of the network, comprising common procurement and common equipment strategies (Application Form Part B2 Section 6.6)
- Optimisation of capacity:
  - a. How much capacity growth is the FAB expected to provide
  - b. How does it relate to projected traffic growth in the Airspace Block (Application Form Part B2 Section 6.6)
- Optimisation of flight routes and routes structure (Application Form Part B2 Section 6.6)
- Expected improvements in level of safety (Application Form Part B2 Section 6.6)
- The degree of contribution to the improvement of service quality (Application Form Part B2 Section 6.6)
- The effect on connectivity with third countries and regions outside the SES-airspace (EU and States who have adopted SES as well) (Application Form Part B2 Section 6.6)
- The interconnectivity with the European ATM network and that of the adjacent FABs, especially what concerns flow management, flight route structures and flight procedures (Application Form Part B2 Section 6.6)
- Environmental benefits: evidence of the environmental gains including fuel burn savings. The project shall provide an environmental assessment of the FAB, taking into account a more efficient airspace design (Application Form Part B2 Section 6.9)

➤ **Quality:**

- Completeness of the proposal
- Clarity of the management structure (Application Form Part B2 Section 7.1)
- Clarity of management of the financial resources (Application Form Part B2 Section 7.5)
- Clarity of the Gantt chart and milestones set to achieve the project's results (Application Form Part B2 Section 2.7)

For works, the total requested EU contribution to the eligible cost of a proposed Action is recommended to be between €1 million and €10 million. For studies, the total requested EU contribution to the eligible cost of a proposed Action is recommended to be at least €500,000.

In terms of expected duration of the project, as mentioned in section 4.2 costs incurred between 1 January 2010 and 31 December 2013 may be considered as eligible, therefore the duration of actions for which EU funding is requested must be within these limits.

#### **4. CO-FINANCING & ELIGIBLE COSTS**

##### **4.1. Co-financing:**

The maximum possible rates of EU co-funding for ATM/FAB Actions are specified in Section 9 of the multi-annual work programme 2010.

The Commission reserves the right to award a grant of less than the amount requested by the applicant. Grants will not be awarded for more than the amount requested.

##### **4.2. Eligible costs:**

Detailed information on eligible costs are described in Annex III, section III.3.7 "Eligibility of Costs", of the model individual Decision granting financial aid for an Action, which is available on the TEN-T EA<sup>6</sup> website (<http://ec.europa.eu/tentea>).

Costs incurred between 1 January 2010 and 31 December 2013 may be considered as eligible. The eligibility of any costs incurred between 1 January 2010 and the date of the adoption of the individual Decision shall be discussed during the negotiation phase; applicants shall show compliance of such expenditure with the general and specific conditions of the contract.

EU financial aid for projects of common interest established under this call can take the form of grants for studies, works or studies with physical interventions. EIB operations shall be compatible with the granting of financial aid under this call.

As defined in Article 2(8) of the TEN Regulation<sup>7</sup>, 'studies' means activities needed to prepare project implementation, including preparatory, feasibility, evaluation and validation studies, and any other technical support measure, including prior action to define and develop a project fully and decide on its financing, such as reconnaissance of the sites concerned and preparation of the financial package. 'Works' (Article 2(9) of the TEN Regulation) means the purchase, supply and deployment of components, systems and services, the carrying out of construction and installation works relating to a project, the acceptance of installations and the launching of a project.

---

<sup>6</sup> Trans-European Transport Network Executive Agency

<sup>7</sup> Regulation (EC) n° 680/2007 of the European Parliament and of the Council of 20 June 2007, laying down general rules for the granting of Community financial aid in the field of the Trans-European Transport and Energy Networks (OJ L 162, 22.6.2007, p. 1)

## 5. **PRACTICAL INFORMATION**

In general, all practical information on the call for proposals and the evaluation process is detailed in the Guide for Applicants, which is available on the TEN-T EA website. It is important to read this guide carefully as proposals which do not follow the instructions will not be evaluated.

### 5.1. **Application form:**

Proposals must be submitted using the application forms provided on the TEN-T EA<sup>8</sup> website (<http://ec.europa.eu/tentea>).

Proposals in their paper version must be signed by the applicant or his duly authorized representative and be perfectly legible so that there can be no doubt as to words and figures.

The components constituting a complete proposal, the address for submission and other practical details are described in the Guide for Applicants, which is available on the TEN-T EA website. It is important to read this carefully as proposals which do not follow these instructions will not be evaluated.

Proposals may be prepared in any official EU language for submission by the call deadline. Nevertheless, if a proposal is not submitted in English and if the applicant has indicated in the Application Form that it will provide an English translation, the English translation of the complete proposal and its annexes must be submitted before the deadline specified below – otherwise the proposal will not be evaluated<sup>9</sup>.

### 5.2. **Indicative Schedule:**

Deadline for the submission of proposals*	30 April 2010 *
Submission of translations in English (if applicable)	10 May 2010
Evaluation of proposals	late May / early June 2010
Consultation of Financial Assistance Committee; execution of scrutiny right by European Parliament	from July 2010 onwards
Indicative date for adoption of individual Decisions	as from October 2010

\*This is the deadline by which proposals must be:

- either sent by registered post or courier service (postmark, deposit slip or proof of receipt);
- or delivered by hand to the address mentioned in the Guide for Applicants. In this case, a receipt must be obtained as proof of submission, signed and dated by the official who took delivery.

If a proposal is submitted after the deadline indicated above, the proposal will not be evaluated.

<sup>8</sup> Trans-European Transport Network Executive Agency

<sup>9</sup> The Commission will reimburse the translation costs resulting from the translation into English of a proposal submitted in response to this Call, for up to an amount of €2500 per proposal, provided that the proposal has been submitted before the deadline specified

### 5.3. **Communication from TEN-T EA on the call for proposals**

Further information or clarifications on this call for proposals may be published on the TEN-T EA website. In particular, a list of Frequently Asked Questions (FAQ) will be regularly updated as questions arise. It is therefore strongly recommended to all applicants to regularly consult the TEN-T EA website to make sure they have all the latest information on the call.

Any additional specific question related to this call may be addressed to the TEN-T Helpdesk email:

[tenea-helpdesk-call-map2010@ec.europa.eu](mailto:tenea-helpdesk-call-map2010@ec.europa.eu)

The answers to questions which could be of interest to other applicants will be published in the FAQ list on the TEN-T EA website, to ensure equal treatment between all potential applicants. Questions which are specific to a particular proposal and where the answer of the TEN-T EA would provide a comparative advantage to the applicant will not be answered.

Applications may not be delivered to this email address. This address is reserved for information and assistance by the TEN-T EA helpdesk.

## 6. **ADDITIONAL INFORMATION**

### 6.1. **Processing of personal data:**

The follow-up of responses to the call for proposals will require the recording and further processing of personal data (e.g. name, address). These data will be processed in accordance with the requirements of Regulation (CE) 45/2001 on the protection of individuals with regard to the processing of personal data by EU institutions and bodies and on the free movement of such data. Unless stated otherwise, replies to questions and personal data requested are necessary for the purpose of assessing your application (according to the specifications of the call for proposals) and will only be processed within TEN-T EA as data controller, for this purpose. For the purposes of safeguarding the financial interests of the EU, the personal data may also be transferred to internal audit services, to the European Court of Auditors, to the Financial Irregularities Panel or to the European Anti-Fraud Office ('OLAF').

You may, upon request, have your personal data sent to you and rectify any inaccurate or incomplete particulars. Should you have any queries concerning the processing of your personal data, please address them to the entity acting as data controller within TEN-T EA. As regards the processing of your personal data, you have the right to bring the matter before the European Data Protection Supervisor at any time.

### 6.2. **Prior information of applicants:**

Applicants and, if they are legal entities, persons who have powers of representation, decision-making or control over them, are informed that, should they be in one of the situations mentioned in:

- the Commission Decision of 16.12.2008 on the Early Warning System (EWS) for

the use of authorising officers of the Commission and the executive agencies (OJ, L 344, 20.12.2008, p. 125), or

- the Commission Regulation of 17.12.2008 on the Central Exclusion Database – CED (OJ L 344, 20.12.2008, p. 12),

their personal details (name, given name if natural person, address, legal form and name and given name of the persons with powers of representation, decision-making or control, if legal person) may be registered in the EWS only or both in the EWS and CED, and communicated to the persons and entities listed in the above-mentioned Decision and Regulation, in relation to the award or the execution of a procurement contract or a grant agreement or decision.

### 6.3. **General conditions for paying grants:**

In order to limit the financial risks connected with the payment of pre-financing, the Commission or the TEN-T EA may, on the basis of a risk assessment, either require the beneficiary to lodge a guarantee in advance (for up to the same amount as the pre-financing) or split the payment into several instalments. Such a guarantee may be replaced by a joint and several guarantee by the Member State concerned (i.e. approving the project) or, where there is more than one beneficiary, by the joint guarantee of the beneficiaries. The Commission may waive this obligation to lodge a guarantee in advance for public-sector bodies and international organisations<sup>10</sup>.

### 6.4. **Important documents:**

In preparing your application, please ensure that you refer to all of the following documents, which are available on the TEN-T EA website:

- Multi-annual work programme 2010<sup>11</sup>
- Guide for Applicants (including the eSubmission module User Manual)
- Application form (parts A, B1 and B2)
- TEN Regulation<sup>12</sup>
- TEN Guidelines<sup>13</sup>
- Model text for an individual Decision granting financial aid

It is also recommended to consult the following documents:

- Single European Sky legislation, consisting of four basic regulations (EC):
  - 549/2004\*,
  - 550/2004\*,
  - 551/2004\*
  - and 552/2004\*,*\*as amended by Regulation 1070/2009 (OJ L 300/34, 14.11.2009, p.34)*

---

<sup>10</sup> Art. 118 of Regulation 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1.) and Art. 182 of Regulation 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Regulation 1605/2002 (OJ L 357, 31.12.2002, p. 1)

<sup>11</sup> Commission Decision C(2010)607 of 05 February 2010

<sup>12</sup> Regulation (EC) n° 680/2007

<sup>13</sup> Decision n° 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of Trans-European Transport Network (OJ L 228, 09.09.1996, p. 1), as last amended by Council Regulation N° 1791/2006/EC ( OJ L 363, 20.12.2006, p1)

- [http://ec.europa.eu/transport/air\\_portal/index\\_en.htm](http://ec.europa.eu/transport/air_portal/index_en.htm)
- <http://eur-lex.europa.eu/en/index.htm>
- Any latest information available on the development of the performance scheme and of the network management functions; to be monitored at the Air Transport Portal of the European Commission and via the national representatives to the Single Sky Committee
- SESAR basic regulation (EC) 219/2007, as amended by the Council Regulation (EC) 1631/2008
- European ATM Masterplan, as endorsed by the Council on 30 March 2009, and any formally adopted modifications thereof:
  - [http://ec.europa.eu/transport/air/sesar/european\\_atm\\_en.htm](http://ec.europa.eu/transport/air/sesar/european_atm_en.htm)
- List of the Operational Improvements composing the refined Implementation Package I of the SESAR Programme, as presented to the Single Sky Committee at its 33<sup>rd</sup> meeting (3-4 December 2009), for which the Committee gave its positive opinion to commence drafting the first set of the Implementing Rules (Annex 1 to this document).
- General presentation on the refined Implementation Package I of the SESAR Programme (Annex 2 to this document)

If applicable, please refer also to the definition of cross-border sections of priority projects endorsed by the Committee for Monitoring Guidelines and the Exchange of Information.